


NVB 3001 (Effective 1/21)

| UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA | | PROOF OF INTEREST |
|---|--|---|
| Name of Debtor: Meta Materials Inc. | Case Number: 24-50792-hlb | RECEIVED AND FILED DEC 12 2024 U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK |
| 1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): Richard Andrew McLellan 6237 Puget RD. NE Olympia, WA 98516 | <input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors. | |
| NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein. | | |
| Telephone Number: 360-456-1032 | COURT USE ONLY | |
| Account or other number by which Interest holder identifies Debtor: X51515051 | Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____ | |
| 2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: Fidelity Investments PO Box 770001, Cincinnati, OH 45277-0002 Telephone Number: 800-343-3548 | 3. Date Equity Interest was acquired: BETWEEN 8-24-21 - 12-7-23 Please see attached | |
| 4. Total amount of member interest: <u>2115 shares - post split</u> | 5. Certificate number(s): _____ | |
| 6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: <u>Investor</u> | | |
| 7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary. | | |
| 8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest. | | |
| 9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.) I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. | | |
| Print Name: <u>Richard Andrew McLellan</u> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="text-align: center;">  (Signature) </div> <div style="text-align: center;"> <u>12-10-24</u> (Date) </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div>Telephone number: 360-456-1032</div> <div>email: thedude712@hotmail.com</div> </div> | | |

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

| Meta Materials Inc (MMAT) shares list | | | Fidelity Account #: X51515051 | | | | |
|--|----------------------|--------------------|--|-------------|----------------------------|-------------|---|
| Richard Andrew McLellan | | | Webull (closed) account # : 5MZ61397 | | | | |
| 6237 Puget Rd NE Olympia WA 98516 | | | Webull address: 44 Wall Street, 2nd Floor, New York, NY 10005 | | | | |
| 360-456-1032 | | | Webull phone # : 888-828-0618 | | | | |
| thedude712@hotmail.com | | | | | | | |
| | Bought shares | Sold shares | Total shares owned | Cost | Received /Recovered | Loss | Notes |
| 8/24/2021 | 7,000 | | 21,000 | \$21,000 | | | Webull account |
| 8/24/2021 | 7,000 | | 28,000 | \$28,000 | | | Webull account |
| 8/24/2021 | 18,000 | | 72,000 | \$72,000 | | | Webull account |
| 8/24/2021 | 4,000 | | 4,000 | \$12,000 | | | Fidelity account |
| unknown* | 15,300 | | 15,300 | unknown* | | | Webull account |
| 12/21/2021 | 900 | | 4,900 | \$2,700 | | | Fidelity account |
| 12/21/2021 | 2,800 | | 7,700 | \$11,200 | | | Fidelity account |
| 1/25/2022 | 10,800 | | 18,500 | \$32,400 | | | Fidelity account |
| 4/22/2022 | 47,300 | | | | | | Transferred shares from closed Webull account |
| 8/23/2022 | 10,000 | | 75,800 | \$10,000 | | | Fidelity account |
| 8/31/2022 | 1,400 | | 77,200 | \$1,097 | | | Fidelity account |
| 8/31/2022 | 6,100 | | 83,300 | \$4,781 | | | Fidelity account |
| 8/31/2022 | 22,000 | | 105,300 | \$17,316 | | | Fidelity account |
| 9/1/2022 | 2,600 | | 107,900 | \$2,080 | | | Fidelity account |
| 9/29/2022 | 2,100 | | 110,000 | \$1,449 | | | Fidelity account |
| 1/19/2023 | | 6,000 | 104,000 | | 6,239.85 | 12,147.54 | Fidelity account |
| 1/19/2023 | | 2,800 | 101,200 | | 2,925.93 | 8,274.07 | Fidelity account |
| 1/19/2023 | | 1,200 | 100,000 | | 1,253.97 | 3,546.03 | Fidelity account |
| 4/5/2023 | 1,400 | | 101,400 | \$699.30 | | | Fidelity account |
| 4/5/2023 | 2,289 | | 103,689 | \$1,142.67 | | | Fidelity account |
| 4/5/2023 | 3,300 | | 106,989 | \$1,647.03 | | | Fidelity account |
| 4/5/2023 | 3,900 | | 110,889 | \$1,947.27 | | | Fidelity account |
| 4/5/2023 | 4,910 | | 115,799 | \$2,453.04 | | | Fidelity account |
| 4/5/2023 | 9,900 | | 125,699 | \$4,939.11 | | | Fidelity account |
| 4/5/2023 | 12,736 | | 138,435 | \$6,355.26 | | | Fidelity account |
| 4/5/2023 | 14,800 | | 153,235 | \$7,320.08 | | | Fidelity account |
| 4/5/2023 | 18,600 | | 171,835 | \$9,298.14 | | | Fidelity account |
| 4/5/2023 | 28,165 | | 200,000 | \$14,079.68 | | | Fidelity account |
| 4/17/2023 | 2 | | 200,002 | \$0.63 | | | Fidelity account |
| 4/17/2023 | 3,986 | | 203,988 | \$1,265.56 | | | Fidelity account |
| 4/17/2023 | 7,412 | | 211,400 | \$2,368.13 | | | Fidelity account |
| 4/17/2023 | 17,586 | | 228,986 | \$5,585.31 | | | Fidelity account |
| 4/17/2023 | 21,014 | | 250,000 | \$6,674.05 | | | Fidelity account |
| 4/17/2023 | 25,000 | | 275,000 | \$7,987.50 | | | Fidelity account |
| 4/18/2023 | 100 | | 275,100 | \$29.06 | | | Fidelity account |

| | | | | | | | |
|-----------|----------|--------|---------|--------------|-------------|--------------|---------------------------------------|
| 4/18/2023 | 100 | | 275,200 | \$29.09 | | | Fidelity account |
| 4/18/2023 | 100 | | 275,300 | \$29.13 | | | Fidelity account |
| 4/18/2023 | 200 | | 275,500 | \$58.20 | | | Fidelity account |
| 4/18/2023 | 200 | | 275,700 | \$58.36 | | | Fidelity account |
| 4/18/2023 | 4,916 | | 280,616 | \$1,435.47 | | | Fidelity account |
| 4/18/2023 | 25,000 | | 305,616 | \$5,350.00 | | | Fidelity account |
| 4/18/2023 | 25,000 | | 330,616 | \$5,372.50 | | | Fidelity account |
| 4/18/2023 | 25,000 | | 355,616 | \$5,372.50 | | | Fidelity account |
| 4/18/2023 | 25,000 | | 380,616 | \$5,375.00 | | | Fidelity account |
| 4/18/2023 | 19,384 | | 400,000 | \$5,815.20 | | | Fidelity account |
| 5/31/2023 | | 42,000 | 358,000 | | \$9,958 | \$23,526.51 | Fidelity account |
| 5/31/2023 | | 41,800 | 316,200 | | \$9,919 | \$89,313.15 | Fidelity account |
| 5/31/2023 | | 9,000 | 307,200 | | \$2,135 | \$22,620.53 | Fidelity account |
| 5/31/2023 | | 5,000 | 302,200 | | \$1,187 | \$18,812.51 | Fidelity account |
| 5/31/2023 | | 2,200 | 300,000 | | \$522 | \$8,277.73 | Fidelity account |
| 6/29/2023 | 25,000 | | 325,000 | \$5,055 | | | Fidelity account |
| 6/29/2023 | 25,000 | | 350,000 | \$5,055 | | | Fidelity account |
| 6/29/2023 | 25,000 | | 375,000 | \$5,083 | | | Fidelity account |
| 6/29/2023 | 25,000 | | 400,000 | \$5,083 | | | Fidelity account |
| 9/12/2023 | | 25,000 | 375,000 | | \$5,500 | \$6,978.63 | Fidelity account |
| 9/12/2023 | | 25,000 | 350,000 | | \$5,420 | \$7,069.68 | Fidelity account |
| 9/12/2023 | | 18,902 | 331,098 | | \$4,158 | \$5,287.52 | Fidelity account |
| 9/12/2023 | | 15,625 | 315,473 | | \$3,438 | \$4,373.42 | Fidelity account |
| 12/7/2023 | 9,527.00 | | 325,000 | \$667 | | | Fidelity account |
| 12/7/2023 | 25,000 | | 350,000 | \$1,750 | | | Fidelity account |
| 1/26/2024 | | 58,225 | 291,775 | | \$3,319 | \$8,481.41 | Fidelity account |
| 1/26/2024 | | 24,455 | 267,320 | | \$1,391 | \$320.38 | Fidelity account |
| 1/26/2024 | | 20,425 | 246,895 | | \$1,166 | \$3,014.13 | Fidelity account |
| 1/26/2024 | | 19,318 | 227,577 | | \$1,095 | \$1,702.17 | Fidelity account |
| 1/26/2024 | | 14,481 | 213,096 | | \$823 | \$2,121.46 | Fidelity account |
| 1/26/2024 | | 1,596 | 211,500 | | \$91 | \$20.43 | Fidelity account |
| 1/26/2024 | | | 2,115 | | | | Fidelity account - Post reverse split |
| Totals | | | | \$341,402.78 | \$60,542.98 | \$225,887.30 | |

* I could not locate my statement for 15,300 shares that I had purchased in 2021 in my closed Webull account.

My Fidelity statement for 4/22/22 lists my transferred MMAT shares from Webull to Fidelity.

My transfer of shares from Webull to Fidelity apparently did not include my cost basis for my MMAT shares.

My total cost, money recovered and total losses do not match up due to the missing Webull cost data.

Richard Andrew McLellan

6237 Puget Rd NE Olympia WA 98516

360-456-1032

thedude712@hotmail.com



INVESTMENT REPORT
November 1, 2024 - November 30, 2024

Envelope # BQZZFZBBBBJMH

RICHARD ANDREW MCLELLAN
6237 PUGET RD NE
OLYMPIA WA 98516-9132

Your Net Portfolio Value:

Portfolio Change from Last Period:

| | This Period | Year-to-Date |
|-----------------------------------|-------------|--------------|
| Beginning Net Portfolio Value | | 6 |
| Additions | | 0 |
| Subtractions | | 8 |
| Transaction Costs, Fees & Charges | | |
| Change in Investment Value * | | 6 |
| Ending Net Portfolio Value ** | | 2 |
| Accrued Interest (AI) | | |
| Ending Portfolio Value incl. AI | | |

Contact Information

| | |
|---------------------------|----------------|
| Online | Fidelity.com |
| FAST®-Automated Telephone | (800) 544-5555 |
| Customer Service | (800) 544-6666 |

* Reflects appreciation or depreciation of your holdings due to price changes, transactions from Other Activity In or Out and Multi-currency transactions, plus any distribution and income earned during the statement period.

** Excludes unpriced securities.

MR_CE_BQZZFZBBBBJMH_BBBBB 20241129





INVESTMENT REPORT
November 1, 2024 - November 30, 2024

Holdings

Account # X51-515051
RICHARD ANDREW MCLELLAN - INDIVIDUAL - TOD

Exchange Traded Products (continued)

| Description | Beginning Market Value Nov 1, 2024 | Quantity Nov 30, 2024 | Price Per Unit Nov 30, 2024 | Ending Market Value Nov 30, 2024 | Total Cost Basis | Unrealized Gain/Loss Nov 30, 2024 | EAI (\$) / EY (%) |
|--|--|--------------------------|-----------------------------------|--|---------------------|---|----------------------|
| Total Exchange Traded Products (10% of account holdings) | | | | | | | - |

Stocks

| Description | Beginning Market Value Nov 1, 2024 | Quantity Nov 30, 2024 | Price Per Unit Nov 30, 2024 | Ending Market Value Nov 30, 2024 | Total Cost Basis | Unrealized Gain/Loss Nov 30, 2024 | EAI (\$) / EY (%) |
|---|--|--------------------------|-----------------------------------|--|---------------------|---|----------------------|
| Common Stock | | | | | | | |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - |
| [REDACTED] | [REDACTED] | 2 | - | Unavailable | [REDACTED] | [REDACTED] | - |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - |
| [REDACTED] C | [REDACTED] | [REDACTED] | - | [REDACTED] | [REDACTED] | [REDACTED] | - |
| [REDACTED] C | [REDACTED] | [REDACTED] | - | [REDACTED] | [REDACTED] | [REDACTED] | - |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - |
| MMETA MATERIALS INC COM NEW ISIN #US59134N3026 SEDOL #BQ67J98 (MMATQ) | 150.16 | 2,115.000 | 0.0610 | 129.01 | 59,608.42 | -59,479.41 | - |
| [REDACTED] 01 | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - |
| [REDACTED] | [REDACTED] | [REDACTED] | - | Unavailable | [REDACTED] | [REDACTED] | - |
| Total Common Stock (90% of account holdings) | | | | | | | - |

MR_CE_BOZZFZBBB.MH_BBBB 20241129

Securities Bought & Sold (continued)

MR_CE_BPWNRHBBGKZD_BB 20240131

Activity

Securities Bought & Sold (continued)

MR_CE_BPWNRHBBGKZD_BBBB 20240131

Activity

Securities Bought & Sold (continued)

MR_CE_BPRQJMBBKBKMXG_BBBBB 20231229

Securities Bought & Sold (continued)

MR_CE_BPKCBHBBBKNKL_BBVB 20230929



INVESTMENT REPORT
September 1, 2023 - September 30, 2023

Activity

Account # X51-515051
RICHARD ANDREW MCLELLAN - INDIVIDUAL - TOD

Securities Bought & Sold (continued)

| Settlement Date | Security Name | Symbol/CUSIP | Description | Quantity | Price | Total Cost Basis | Transaction Cost | Amount |
|-----------------|--|--------------|---|-------------|---------|------------------|------------------|----------|
| 09/12 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Sold Short-term loss: \$5,287.52 | -18,902.000 | 0.22000 | 9,445.92f | -0.04 | 4,158.40 |
| 09/12 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Sold Short-term loss: \$4,373.42 | -15,625.000 | 0.22000 | 7,810.92f | - | 3,437.50 |
| 09/12 | [REDACTED] SET TO 25 \$11 (100 SHRS) CLOSING TRANSACTION | [REDACTED] | You Bought Short-term loss: \$44.42 Short-term loss: \$1,000.00 Wash sale on 09/12/23 \$1,000.00 | 0.000 | 0.00000 | 0.000f | 0.00 | 0.00 |
| 09/12 | [REDACTED] SET TO 25 \$11 (100 SHRS) CLOSING TRANSACTION | [REDACTED] | You Bought Short-term loss: \$1,194.94 Short-term loss: \$1,000.00 Wash sale on 09/12/23 \$1,000.00 | 0.000 | 0.00000 | 0.000f | 0.00 | 0.00 |
| 09/12 | [REDACTED] SET TO 25 \$11 (100 SHRS) CLOSING TRANSACTION | [REDACTED] | You Bought Short-term loss: \$1,000.00 Wash sale on 09/12/23 \$1,000.00 | 0.000 | 0.00000 | 0.000f | 0.00 | 0.00 |
| 09/12 | [REDACTED] SET TO 25 \$11 (100 SHRS) CLOSING TRANSACTION | [REDACTED] | You Bought Short-term loss: \$1,000.00 Short-term loss: \$1,000.00 Wash sale on 09/12/23 \$1,000.00 | 0.000 | 0.00000 | 0.000f | 0.15 | 0.15 |
| 09/12 | [REDACTED] SET TO 25 \$11 (100 SHRS) CLOSING TRANSACTION | [REDACTED] | You Bought Short-term loss: \$1,000.00 Short-term loss: \$1,000.00 Wash sale on 09/12/23 \$1,000.00 | 0.000 | 0.00000 | 0.000f | 0.15 | 0.15 |
| 09/12 | [REDACTED] SET TO 25 \$11 (100 SHRS) CLOSING TRANSACTION | [REDACTED] | You Bought Short-term loss: \$1,000.00 Short-term loss: \$1,000.00 Wash sale on 09/12/23 \$1,000.00 | 0.000 | 0.00000 | 0.000f | 0.15 | 0.15 |

MR_CE_BPKCBHBBKKNL_BBBB 20230929

Activity

Securities Bought & Sold (continued)

MR_CE_BPBFXHBBBKWTV_BBBB 20230630

Activity

Securities Bought & Sold (continued)

MR_CE_BNWXTWBBBGRGT_BBBB 20230531

Securities Bought & Sold (continued)

| Settlement Date | Security Name | Symbol/ CUSIP | Description | Quantity | Price | Total Cost Basis | Transaction Cost | Amount |
|-----------------|---|------------------|-----------------------------|------------|------------|---------------------|---------------------|------------|
| 04/05 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 14,800.000 | 0.49460 | - | - | -7,320.08 |
| 04/05 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 18,600.000 | 0.49990 | - | - | -9,298.14 |
| 04/05 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 28,165.000 | 0.49990 | - | - | -14,079.68 |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] [REDACTED] 26 | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] [REDACTED] 26 | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| 04/17 | [REDACTED] LTD COM [REDACTED] NG T [REDACTED] ION | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | - | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| 04/17 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 2.000 | 0.31700 | - | - | -0.63 |
| 04/17 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 3,986.000 | 0.31750 | - | - | -1,265.56 |
| 04/17 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 7,412.000 | 0.31950 | - | - | -2,368.13 |
| 04/17 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 17,586.000 | 0.31760 | - | - | -5,585.31 |
| 04/17 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 21,014.000 | 0.31760 | - | - | -6,674.05 |
| 04/17 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 25,000.000 | 0.31950 | - | - | -7,987.50 |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 100.000 | 0.29060 | - | - | -29.06 |

MR_CE_BNTQHRBBBQST_BBBB 20230428



INVESTMENT REPORT
April 1, 2023 - April 30, 2023

Activity

Account # X51-515051
RICHARD ANDREW MCLELLAN - INDIVIDUAL - TOD

Securities Bought & Sold (continued)

| Settlement Date | Security Name | Symbol/CUSIP | Description | Quantity | Price | Total Cost Basis | Transaction Cost | Amount |
|------------------------------|---|--------------|-------------|------------|------------|------------------|------------------|-----------|
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 100.000 | 0.29090 | - | - | -29.09 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 100.000 | 0.29130 | - | - | -29.13 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 200.000 | 0.29100 | - | - | -58.20 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 200.000 | 0.29180 | - | - | -58.36 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 4,916.000 | 0.29200 | - | - | -1,435.47 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 25,000.000 | 0.21400 | - | - | -5,350.00 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 25,000.000 | 0.21490 | - | - | -5,372.50 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 25,000.000 | 0.21490 | - | - | -5,372.50 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 25,000.000 | 0.21500 | - | - | -5,375.00 |
| 04/18 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 | 59134N104 | You Bought | 19,384.000 | 0.30000 | - | - | -5,815.20 |
| | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - | - | -188.83 |
| Total Securities Bought | | | | | | | | |
| Total Securities Sold | | | | | | | | |
| Net Securities Bought & Sold | | | | | | | | |

Dividends, Interest & Other Income

(Includes dividend reinvestment)

| Settlement Date | Security Name | Symbol/CUSIP | Description | Quantity | Price | Amount |
|--|---------------|--------------|-------------|----------|-------|--------|
| Total Dividends, Interest & Other Income | | | | | | |

MR_CE_BNTHRBBBQST_BB555 20230428

Holdings

8 of 22

Activity

Securities Bought & Sold (continued)MR_CE_BMXFDFBBKRVL_BBBB 20220930



INVESTMENT REPORT
August 1, 2022 - August 31, 2022

Activity

Account # X51-515051
RICHARD ANDREW MCLELLAN - INDIVIDUAL - TOD

Securities Bought & Sold (continued)

| Settlement Date | Security Name | Symbol/CUSIP | Description | Quantity | Price | Total Cost Basis | Transaction Cost | Amount |
|-----------------|--|--------------|-------------|------------|------------|------------------|------------------|------------|
| 08/22 | [REDACTED] COM | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | | - | [REDACTED] |
| 08/22 | [REDACTED] OM | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | | - | [REDACTED] |
| 08/22 | [REDACTED] COM | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | | - | [REDACTED] |
| 08/22 | [REDACTED] COM | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | | - | [REDACTED] |
| 08/22 | [REDACTED] MI | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | | - | [REDACTED] |
| 08/22 | [REDACTED] OM | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | | - | [REDACTED] |
| 08/23 | [REDACTED] INC | [REDACTED] | You Sold | [REDACTED] | [REDACTED] | | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] INC | [REDACTED] | You Bought | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] COM | [REDACTED] | You Sold | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| 08/23 | META MATERIALS INC COM ISIN #US59134N1046 SEDOL #BKSCVX7 ASSIGNED PUTS | 59134N104 | You Bought | 10,000.000 | 1.00000 | | - | -10,000.00 |
| 08/24 | [REDACTED] INC | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - | [REDACTED] | [REDACTED] |
| 08/24 | [REDACTED] CORPORATION | [REDACTED] | You Sold | [REDACTED] | [REDACTED] | - | [REDACTED] | [REDACTED] |
| 08/24 | [REDACTED] POST | [REDACTED] | You Sold | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| 08/25 | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | - | [REDACTED] | [REDACTED] |
| 08/25 | [REDACTED] COM | [REDACTED] | You Sold | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |

MR_CE_BMTXRTBBGBXW_BBBBB 20220831

Activity

Securities Bought & Sold (continued)MR_CE_BMTXRTBBGXBW_BBBB 20220831

Activity

Securities Bought & Sold (continued)[illegible]

Total Securities Bought

Total Securities Sold

Net Securities Bought & Sold

Dividends, Interest & Other Income

(Includes dividend reinvestment)

| Settlement Date | Security Name | Symbol/ CUSIP | Description | Quantity | Price | Amount |
|---|---------------|---------------|-------------|----------|-------|----------|
| | | | | - | - | - |
| Total Dividends, Interest & Other Income | | | | | | - |

MR_CE_BMTXRTBBGBW_BBBB 20220831

Activity

Securities Transferred In (continued)

| | |
|---------------------------------|---|
| Total Securities Transferred In | - |
|---------------------------------|---|

5

| | |
|----------------------------------|---|
| Total Securities Transferred Out | - |
|----------------------------------|---|

MR_CE_BMJMFHBBBGMGX_BBBB 20220429

Activity

Securities Bought & Sold (continued)MR_CE_BLZJRMBBBXXGK_BBBB 20220131

Account # X51-515051

Activity

[illegible]

MR_CE_BLTMTBBCMSCH_BBBB 20211231



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

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August 1, 2021 - August 31, 2021

PAGE 1 OF 18

ACCOUNT NUMBER **5MZ-61397-17 RR WEA**

RICHARD ANDREW MCLELLAN

Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

RICHARD ANDREW MCLELLAN
6237 PUGET RD NE
OLYMPIA WA 98516-9132

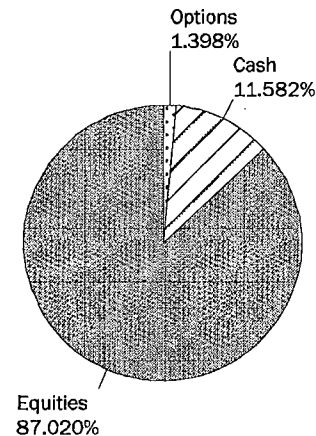
▶ **ACCOUNT SUMMARY**

| | OPENING BALANCE | CLOSING BALANCE |
|------------------------------|-----------------|-----------------|
| Margin account | [REDACTED] | [REDACTED] 0 |
| NET ACCOUNT BALANCE | [REDACTED] | [REDACTED] |
| Securities | [REDACTED] | [REDACTED] |
| TOTAL PRICED PORTFOLIO | [REDACTED] | [REDACTED] 8 |
| Total Equity Holdings | [REDACTED] | [REDACTED] |

▶ **INCOME AND EXPENSE SUMMARY**

| | THIS PERIOD | YEAR TO DATE |
|------------------------|-------------|--------------|
| Debit interest expense | [REDACTED] | [REDACTED] 0 |

▶ **PORTFOLIO EQUITY ALLOCATION**



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

PAGE 3 OF 18

RICHARD ANDREW MCLELLAN



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

[illegible]

August 1, 2021 - August 31, 2021

PAGE 9 OF 18

ACCOUNT NUMBER **5MZ-61397-17 RR WEA****RICHARD ANDREW MCLELLAN**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

| TRANSACTION | DATE | ACCOUNT TYPE | DESCRIPTION | QUANTITY | PRICE | DEBIT | CREDIT |
|--|----------|-----------------|---|----------|-------|-----------|--------|
| BUY / SELL TRANSACTIONS (continued) | | | | | | | |
| SOLD | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| | 08/24/21 | | GENIUS BRAND INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | | | | |
| BOUGHT | 08/24/21 | M | META MATLS INC COMMON STOCK A/E 9NYNDX0 70 ASSIGNED CUSIP: 59134N104 | 7,000 | 3 | 21,000.00 | |
| BOUGHT | 08/24/21 | M | META MATLS INC COMMON STOCK A/E 9NYNDX2 70 ASSIGNED CUSIP: 59134N104 | 7,000 | 4 | 28,000.00 | |
| BOUGHT | 08/24/21 | M | META MATLS INC COMMON STOCK A/E 9NYNDX2 180 ASSIGNED CUSIP: 59134N104 | 18,000 | 4 | 72,000.00 | |

Activity

Securities Bought & Sold (continued)

MR_CE_BLHXPWBBCBMJ_BBBB 20210831